# AP20 Rec'd PCT/PTO 21 JUL 2006

PATENT Atty. Dkt. ZIMR/0045

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

In re Application of:	§	Int'l Application No.:
Hans-Peter FEUERBAUM	§	PCT/EP2005/000598
A stay & cont (i) year A see see	§	
Serial No.: to least in ed 1.5	§	Int'l Filing Date: 21 January 2005
	§	(21.01.2005)
Filed: herewith	§	Priority Date: 21 January 2004
	§	(21.01.2004)
For: FOCUSSING LENS FOR	§	
CHARGED PARTICLE BEAMS	§	
	§	

MAIL STOP PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

#### CERTIFICATE UNDER 37 CFR 1.10

I hereby certify that this correspondence and the documents referred to as attached therein are being deposited on July 2.1, 2006, with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee," mailing label No. EV763975042US, addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

7/21/07 Date

Signature

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The Applicants, and the Attorney who signs below on the basis of the information supplied by the inventor and the information in his file, submit herewith patents, publications, or other information of which they are aware, which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While the information submitted in this Information Disclosure Statement may be material pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication, or other information referred to therein is prior art for this invention unless specifically designated as such.

In accordance with 37 CFR § 1.97, this Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other possibly material information as defined under 37 CFR § 1.56(a) exists.

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The patents and/or publications submitted herewith are set forth on the attached Form PTO-1449.

If the sum of \$180.00 is due under 37 CFR § 1.17(p) pursuant to § 1.97, the Commissioner is hereby authorized to charge this fee, and any other fee necessary to make this submission timely, to the Deposit Account No. 20-0782/ZIMR/0044/KMT.

Respectfully submitted,

Keith M. Tackett

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PTO/SB/08a (07-05) Approved for use through 07/31/2006. OMB 0651-0031

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Substitute for form 1449A/PTO Complete if Known TO BE ASSIGNED 5 8 U.S. Application Number No. Int'l Application No. INFORMATION DISCLOSURE U.S. Filing Date HEREWITH Int'l Filing Date 21 January 2005 (21.01.2005) STATEMENT BY APPLICANT First Named Inventor Hans-Peter FEUERBAUM Art Unit UNKNOWN (Use as many sheets as necessary) UNKNOWN Examiner Name ZIMR/0044

Attorney Docket Number

of 2

Sheet

U.S. PATENT DOCUMENTS						
Cita	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant		
No.1	Number - Kind Code <sup>2</sup> (if known)	MM-DD-YYYY		Passages or Relevant Figures Appear		
A1	US-6,037,589	03/14/2000				
A2	US-6,504,164	01/07/2003	Yonezawa, et al.			
А3	US-2004/0000640	01/01/2004	Kazumori			
	-					
	A1 A2	Cite No. 1 Number - Kind Code <sup>2</sup> (if known)  A1 US-6,037,589  A2 US-6,504,164	Document Number   Publication Date	Cite No.¹         Document Number Number         Publication Date MM-DD-YYYY         Name of Patentee or Applicant of Cited Document           A1         US-6,037,589         03/14/2000         Yonezawa, et al.           A2         US-6,504,164         01/07/2003         Yonezawa, et al.		

FOREIGN PATENT DOCUMENTS							
Examiner Initials* Cite No.1 Coun	Cito	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines, Where Relevant		
	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> ( <i>if known</i> )	Date MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	T <sub>6</sub>		
	B1	EP 0 732 726	09/18/1996	lwabuchi, et al.			
	B2	EP 0 762 468	03/12/1997	Sato, et al.			
	В3	WO 02/37523	05/10/2002	Krans, et al.			

Examiner Signature	Date Considered	

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Substitute	for form 1449A/PTC	)		Complete if Known		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		U.S. Application Number No. Int'l Application No.	TO BE ASSIGNED 07137			
		U.S. Filing Date Int'l Filing Date	HEREWITH 21 January 2005 (21.01.2005)			
SIA	I EINIEIN I D	IAL	PLICANI	First Named Inventor	Hans-Peter FEUERBAUM	
				Art Unit	UNKNOWN	
	(Use as many she	eets as n	recessary)	Examiner Name	UNKNOWN	
Sheet	2	of	2	Attorney Docket Number	ZIMR/0044	

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>	
	C1	PCT International Search Report and Written Opinion dated September 26, 2005, for PCT/EP2005/000598. (ZIMR/0044)		
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